

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK  
OFFICE (MODIFIED)

ATTORNEY'S DOCKET NUMBER

X-16031

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

**10/533214****TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

**PCT/US2003/035051**INTERNATIONAL FILING  
DATE**18 November 2003  
(18.11.03)**

PRIORITY DATE CLAIMED

**19 November 2002 (19.11.02)**

TITLE OF INVENTION: TREATMENT OF GASTROINTESTINAL DISORDERS WITH DULOXETINE

APPLICANT(S) FOR DO/EO/US: Michael J. DETKE, David Joel GOLDSTEIN, and Smriti IYENGAR

Applicant herewith submits to the U.S. Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau.
    - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A declaration of the inventors 35 U.S.C. 371(c)(4) was provided in the PCT Request Form.
  11. ☐ A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 12. to 18. below concern document(s) or information included:**
12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☐ Assignment has been recorded at reel \_\_\_\_\_, frame \_\_\_\_\_.
  15. ☒ A **FIRST** preliminary amendment.
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  16. ☐ A Sequence Listing, 1.821 Statement, and diskette.
  17. ☒ A power of attorney with attachments.
  18. ☒ Other items or information:
- Please amend the first page of the specification by inserting the following cross-reference after the title:  
 "This is the national phase application, under 35 USC 371, for PCT/US2003/035051, filed 18 November 2003, which, claims the benefit, under 35 USC 119(e), of US provisional application 60/427,514, filed 19 November 2002."

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/533214</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/US2003/035051</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">X-16031</div>	
19. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-left: 20px;">           Basic National Stage Fee = <span style="float: right;">\$ 300.00</span>             National Stage Search Fee = <span style="float: right;">\$ 500.00</span>             National Stage Examination Fee = <span style="float: right;">\$ 200.00</span>  <b>TOTAL NATIONAL STAGE FEES</b> <span style="float: right;"><b>\$1,000.00 (a)</b></span>             Application Length Fee             Total Length            (excluding sequence listings)   <div style="text-align: center;">8 - 100 + 50 x 250 = 0.00 (b)</div> </div>				<div style="text-align: center; font-weight: bold;">CALCULATIONS PTO USE ONLY</div>	
<b>ENTER APPROPRIATE AMOUNT (a + b) =</b>				<div style="font-weight: bold;">\$1,000.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div>\$</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	4-20= 0		X \$50.00	\$	
Independent claims	2-3= 0		X \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<div style="font-weight: bold;">\$1,000.00</div>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				<div>\$</div>	
<b>SUBTOTAL =</b>				<div>\$</div>	
Processing fee of \$130.00 for furnishing English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<div>\$</div>	
<b>TOTAL NATIONAL FEE =</b>				<div style="font-weight: bold;">\$1,000.00</div>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).				<div>\$</div>	
<b>TOTAL FEES ENCLOSED =</b>				<div style="font-weight: bold;">\$1,000.00</div>	
				Amount to be refunded	\$
				charged	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 05-0840 in the amount of <u>\$1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b> ELI LILLY AND COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, INDIANA 46206-6288 <div style="text-align: center; margin-top: 10px;"> <u>4/28/05</u>          Date       </div> <div style="text-align: center; margin-top: 10px;">         45,263          REGISTRATION NUMBER       </div>			<div style="text-align: center; margin-top: 20px;"> <div style="text-align: center;">SIGNATURE</div> </div> <div style="text-align: center; margin-top: 20px;">         Arvie J. Anderson           (317) 277-7217          TELEPHONE NUMBER       </div>		<div style="font-size: 1.5em; font-weight: bold; margin-top: 5px;">25885</div> <div style="font-size: 0.8em; font-weight: bold; margin-top: 5px;">PATENT TRADEMARK OFFICE</div>

IN THE UNITED STATES RECEIVING OFFICE (USRO)

Applicant(s): Michael J. DETKE  
David Joel GOLDSTEIN  
Smriti IYENGAR

International Application No.: PCT/US2003/035051  
Filed: 18 November 2003 (18.11.03)  
Invention: TREATMENT OF GASTROINTESTINAL DISORDERS  
WITH DULOXETINE  
Lilly Reference: X-16031  
Earliest Priority Date: 19 November 2002 (19.11.02)

**Certificate Under 37 C.F.R. § 1.10**

Attention: DO/EO  
Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

"Express Mail" mailing label number: EV 393134575 US  
Date of Deposit: 28 APR. 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Queen Thomas  
(Typed or printed name of person mailing paper)

Queen Thomas  
(Signature of person mailing paper or fee)